

MATH 220.201 CLASS 20 QUESTIONS

1. (a) Let \mathbb{Q}^+ denote the set of positive rational numbers. Prove that there exists a surjective function $\mathbb{N} \times \mathbb{N} \rightarrow \mathbb{Q}^+$.

Solution: There's such a function $f : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{Q}^+$ given by

$$f((a, b)) = a/b$$

It is surjective because every positive rational number can be written as a fraction with positive numerator and denominator.

- (b) Deduce that there exists a surjective function $\mathbb{Z} \times \mathbb{N} \rightarrow \mathbb{Q}$.

Solution: One can again define a function $f : \mathbb{Z} \times \mathbb{N} \rightarrow \mathbb{Q}$ by $f((a, b)) = a/b$. It is well-defined as $b \neq 0$. It is surjective because every rational number can be written as a fraction (with top and bottom both integers) with positive denominator.

- (c) Show that there is an injective function $\mathbb{Q} \rightarrow \mathbb{Z} \times \mathbb{N}$.

Solution: Any rational number q can be written *uniquely* in the form $q = \frac{a}{b}$ where $b > 0$ and a, b have no common factors. Because this is unique, we get a function $\mathbb{Q} \rightarrow \mathbb{Z} \times \mathbb{N}$. The function is clearly injective because two different rational numbers can't be given by the same fraction.

2. For any integer k , let $k^{\mathbb{N}}$ denote the set of functions $\mathbb{N} \rightarrow \{1, 2, \dots, k\}$. We'll prove in class today that $2^{\mathbb{N}}$ is *uncountable*.

- (a) Explain why there is a bijection between $2^{\mathbb{N}}$ and $\mathcal{P}(\mathbb{N})$, the *power set* of \mathbb{N} .

Solution: Consider the function $\theta : 2^{\mathbb{N}} \rightarrow \mathcal{P}(\mathbb{N})$ given as follows. Suppose that $f \in 2^{\mathbb{N}}$. Then $f^{-1}(1)$ is a subset of \mathbb{N} , and we let $\theta(f) = f^{-1}(1)$.

In the other direction, consider the function $\varphi : \mathcal{P}(\mathbb{N}) \rightarrow 2^{\mathbb{N}}$ given as follows. Suppose that $S \in \mathcal{P}(\mathbb{N})$. Then one may define a function $\varphi(S) : \mathbb{N} \rightarrow \{1, 2\}$ by

$$(\varphi(S))(n) = \begin{cases} 1 & n \in S \\ 2 & n \notin S \end{cases}$$

Let $\varphi(S)$ be this function.

We have defined functions $\theta : 2^{\mathbb{N}} \rightarrow \mathcal{P}(\mathbb{N})$ and $\varphi : \mathcal{P}(\mathbb{N}) \rightarrow 2^{\mathbb{N}}$. We will now show they are inverse functions. First, we show that $\varphi(\theta(f)) = f$ for any

$f \in 2^{\mathbb{N}}$. For any $n \in \mathbb{N}$,

$$(\varphi(\theta(f)))(n) = \begin{cases} 1 & n \in f^{-1}(1) \\ 2 & n \notin f^{-1}(1) \end{cases}$$

It is clear that the function $\varphi(\theta(f))$ is equal to f . Now we check that $\theta(\varphi(S)) = S$. $\theta(\varphi(S)) = \varphi(S)^{-1}(1)$ which equals S by the definition of φ . Thus, $\theta(\varphi(S)) = S$ as desired.

- (b) Show that there is a surjective function $10^{\mathbb{N}} \rightarrow [0, 1]$, where $[0, 1]$ is the interval of real numbers x such that $0 \leq x \leq 1$.

Solution: We'll instead consider functions from \mathbb{N} to the set $\{0, 1, 2, \dots, 9\}$, as that set is bijective with $10^{\mathbb{N}}$. Consider the function which sends a function

$$f : \mathbb{N} \rightarrow \{0, 1, 2, \dots, 9\}$$

to the sum $\sum_{n=1}^{\infty} \frac{f(n)}{10^n}$. In other words, it sends the function $f(-)$ to the decimal number

$$f(-) \mapsto 0.f(1)f(2)f(3)f(4)\dots$$

This function is surjective because every real number in $[0, 1]$ has a decimal representation of this form (for the number 1, note that $1=0.999\dots$).

- (c) Show that, for any positive integer k , there is a surjective function $k^{\mathbb{N}} \rightarrow [0, 1]$.

Solution: Do the same thing but base k instead of base 10.

$$f(-) \mapsto \sum_{n=1}^{\infty} \frac{f(n)}{k^n}$$

3. Let $S \subset \mathcal{P}(\mathbb{N})$ be the set of *finite* subsets of \mathbb{N} . Prove that S is denumerable.

Solution 1:

Lemma 0.1. *Let A_1, A_2, A_3, \dots be a sequence of disjoint, denumerable sets. Then $A_1 \cup A_2 \cup A_3 \cup \dots$ is denumerable.*

Proof of Lemma. The assumption is that there is a bijection $f_n : \mathbb{N} \rightarrow A_n$ for every $n \in \mathbb{N}$. Then there is a bijection

$$\mathbb{N} \times \mathbb{N} \rightarrow \bigcup_{n=1}^{\infty} A_n$$

which maps $\{n\} \times \mathbb{N}$ bijectively to A_n . Compose this with the bijection¹ $\mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}$ and we obtain a bijection $\mathbb{N} \rightarrow \bigcup_{n=1}^{\infty} A_n$. \square

Lemma 0.2. *Let S_1, S_2, S_3, \dots be a sequence of nonempty, disjoint, finite sets. Then $S_1 \cup S_2 \cup S_3 \cup \dots$ is denumerable.*

Proof. Let a_n be the size of set S_n for each n . Then we can form bijections

$$\begin{aligned} \{1, 2, \dots, a_1\} &\rightarrow S_1 \\ \{a_1 + 1, a_1 + 2, \dots, a_1 + a_2\} &\rightarrow S_2 \\ \{a_1 + a_2 + 1, a_1 + a_2 + 2, \dots, a_1 + a_2 + a_3\} &\rightarrow S_3 \\ &\vdots \end{aligned}$$

Putting these together gives a bijection from \mathbb{N} to $S_1 \cup S_2 \cup S_3 \cup \dots$. \square

Proof of Question 3. For each n , let A_n denote the set of n -element subsets of \mathbb{N} . By the first Lemma, it suffices to show that, for any n , A_n is denumerable.

For every natural number $m \geq n$, let B_m be the set of n -element subsets of \mathbb{N} with largest element equal to m . So for example, B_n consists of a single element, B_{n+1} consists of $n + 1$ elements, and so on. Then A_n is the disjoint union of $B_n, B_{n+1}, B_{n+2}, \dots$ and each B_m is finite and nonempty. By the second Lemma, it follows that A_n is denumerable, as desired. \square

Solution 2: There is a function

$$f : S \rightarrow \mathbb{Q}$$

which is given by $f(S) = \sum_{n \in S} \frac{1}{10^n}$. This is easily seen to be an injective function, because no rational number has two different finite-length decimal representations. Thus, $|S| \leq |\mathbb{Q}|$. Since \mathbb{Q} is denumerable, and S is an infinite set, S is also denumerable.

¹We constructed this in a previous class, but for completeness I will describe it here. The inverse bijection $f : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ is given by

$$f((i, j)) = \frac{(i+j)(i+j+1)}{2} - i + 1$$

Another possible bijection $g : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ is by the formula

$$g((i, j)) = 2^{i-1}(2j - 1)$$