

## MATH 220.201 CLASS 18 QUESTIONS

1. For each of the following pairs of sets  $A, B$ , determine whether there are functions from  $A$  to  $B$  which are one-to-one (injective), onto (surjective), or both (bijective). Do the same with functions from  $B$  to  $A$ .

**Note:** For each of these cases, there exist plenty of functions  $A \rightarrow B$  which are neither injective nor surjective.

(a)  $A = \{1, 2, 3, 4, 5\}$  and  $B = \{6, 7, 8, 9\}$ .

**Solution:** There exist plenty of surjective functions  $f : A \rightarrow B$  (if you are curious, there are 240 of them). One example is given by  $f(1) = 6, f(2) = 7, f(3) = 8, f(4) = 9, f(5) = 9$ . Written as ordered pairs, this is  $\{(1, 6), (2, 7), (3, 8), (4, 9), (5, 9)\}$ .

There are plenty of injective functions  $g : B \rightarrow A$  (if you are curious, there are 120 of them). One example is given by  $g(6) = 1, g(7) = 2, g(8) = 3, g(9) = 4$ . Written as ordered pairs, this is  $\{(6, 1), (7, 2), (8, 3), (9, 4)\}$ .

(b)  $A = \mathbb{N} = \{1, 2, 3, \dots\}$  and  $B = \{2n : n \in \mathbb{N}\} = \{2, 4, 6, 8, \dots\}$ .

**Solution:** There are plenty of bijective functions between these two sets. One such function  $f : A \rightarrow B$  is given by  $f(1) = 2, f(2) = 4, f(3) = 6, f(4) = 8, \dots$ , and in general  $f(n) = 2n$  for each  $n \in A$ .

(c)  $A = \mathbb{N}$  and  $B = \{a + b\sqrt{2} : a \in \mathbb{N}, b \in \{0, 1, 2\}\}$ .

**Solution:** Again, there are plenty of bijective functions from  $A$  to  $B$ . Here is an example. (I've drawn double-headed arrows to indicate that the function has an inverse.)

$$\begin{array}{lll}
 1 \longleftrightarrow 1 & 2 \longleftrightarrow 1 + \sqrt{2} & 3 \longleftrightarrow 1 + 2\sqrt{2} \\
 4 \longleftrightarrow 2 & 5 \longleftrightarrow 2 + \sqrt{2} & 6 \longleftrightarrow 2 + 2\sqrt{2} \\
 7 \longleftrightarrow 3 & 8 \longleftrightarrow 3 + \sqrt{2} & 9 \longleftrightarrow 3 + 2\sqrt{2} \\
 & \vdots & 
 \end{array}$$

(d)  $A = \mathbb{N}$  and  $B = \mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$ .

**Solution:** Again, there is a bijection. Here's a way to reorder the elements of  $\mathbb{Z}$  so that this becomes clear

$$\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\} = \{0, 1, -1, 2, -2, 3, -3, \dots\}$$

(e)  $A = \mathbb{N}$ ,  $B = \{2, 3, 5, 7, 11, \dots\}$  is the set of prime numbers.

**Solution:** Even in this case, the two functions are in bijective correspondence. In fact, here is a more general fact.

**Theorem 0.1.** *Let  $S$  be any infinite subset of  $\mathbb{N}$ . Then there is a bijection  $f : \mathbb{N} \rightarrow S$ .*

*Proof.* Define a sequence of elements  $x_1, x_2, x_3, \dots \in S$  by the property that

$$x_n = \text{the least element of } (S - \{x_1, \dots, x_{n-1}\})$$

For each  $n$ ,  $S - \{x_1, \dots, x_{n-1}\}$  is a nonempty<sup>1</sup> subset of  $\mathbb{N}$ , and so because  $\mathbb{N}$  is well-ordered,  $S - \{x_1, \dots, x_{n-1}\}$  has a least element. Thus,  $x_n$  is well-defined for each  $n$ .

This sequence  $x_1, x_2, x_3, \dots$  defines a function  $f : \mathbb{N} \rightarrow S$  by the formula  $f(n) = x_n$ . We claim this function is both injective and surjective, hence bijective.

- **Injectivity:** for any two natural numbers  $m, n$  with  $m < n$ , we have  $x_m < x_n$  by definition. Hence, the function is injective.
- **Surjectivity:** Suppose, for a contradiction, that there is some element  $x \in S$  which is not in the image of this function - i.e not equal to any  $x_i$ . Since  $S$  is a subset of  $\mathbb{N}$ ,  $x \in \mathbb{N}$ . Therefore, there are only finitely many elements of  $\mathbb{N}$  which are less than  $x$ . It follows that there is some  $n$  such that  $x_n > x$ . But this contradicts the definition of  $x_n$  as the least element of  $S - \{x_1, \dots, x_{n-1}\}$ , because  $x$  is an element of  $S - \{x_1, \dots, x_{n-1}\}$ . Therefore, we have reached a contradiction, and so no such  $x$  exists. Therefore, the function is surjective.

□

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2. Can you come up with a rigorous definition of what it means for a set to have ‘size  $n$ ’?

**Definition 0.2.** *A set  $S$  has size  $n$  if there exists a bijection  $\{1, 2, \dots, n\} \rightarrow S$ .*

3. What about what it means for a set to be ‘infinite’?

**Definition 0.3.** *A set  $S$  is infinite if, for every  $n \in \mathbb{N}$ , there does not exist any bijection  $\{1, 2, \dots, n\} \rightarrow S$ .*

<sup>1</sup>Because  $S$  is infinite

<sup>2</sup>It’s not true if  $S$  is an ordered set in bijection with  $\mathbb{N}$ , then you can always construct the bijection  $\mathbb{N} \rightarrow S$  in the way described above. See *ordinal numbers* if you are curious.

4. Let  $m$  and  $n$  be two positive integers such that  $m \leq n$ , and suppose that  $S$  is a set and there's an injection  $\{1, \dots, n\} \rightarrow S$ . Prove that if there is an injection  $S \rightarrow \{1, \dots, m\}$ , then  $m = n$ .

*Proof.*  $n \leq |S| \leq m$ . So  $n \leq m$  and  $m \leq n$ , which implies  $m = n$ . □

5. Let  $S$  be a set and suppose that there is a bijection  $f : \mathbb{N} \rightarrow S$ . Prove that if  $T$  is any infinite subset of  $S$ , then there is a bijection  $S \rightarrow T$ .

*Proof.*  $f^{-1}$  is a bijection from  $S$  to  $\mathbb{N}$ . We may consider the set  $f^{-1}(T) \subset \mathbb{N}$ . By the theorem proved in 1(e), there exists a bijective function  $g : \mathbb{N} \rightarrow f^{-1}(T)$ . Then consider the function  $f \circ g \circ f^{-1} : S \rightarrow T$ . The following diagram may make it easier to visualize.

$$\begin{array}{ccc}
 \mathbb{N} & \xleftarrow{f^{-1}} & S \\
 g \downarrow & & \downarrow f \circ g \circ f^{-1} \\
 f^{-1}(T) & \xrightarrow{f} & T
 \end{array}$$

It is a composition of three bijections, and therefore it is a bijection. □